

FORM PTO 1590
(REV 12-95)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER

325/E6627

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC §371U.S. APPLICATION NO.
(if known, give PCT Art. 17)

09/284578

International Application No.
PCT/JP97/03710International Filing Date
October 15, 1997Priority Date Claimed
October 15, 1996

Title of Invention

BIOLOGICALLY ACTIVE POLYMER PRODUCTS

Applicant(s) For DO/EO/US

Yasuo FUKAGAWA and Akiko MIYA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC §371.
3. ☒ This express request to begin national examination procedures (35 USC §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC §371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 USC §371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 USC §371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC §371(c)(3)).
 - a. ☒ are transmitted herewith (in English)(required only if not transmitted by the International Bureau.
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC §371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 USC §371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC §371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: (a) Forms PCT/IB/304 and 308; (b) International Search Report; (c) Title page of published international application (WO 98/16253); (d) International Preliminary Examination Report (in Japanese); (e) Correction under Article 6; and (f) drawings for Figs. 1-7 (A4 paper).

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/JP97/03710		ATTORNEY'S DOCKET NO. 325/E6627	
17. [X] The following fees are submitted BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): <input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO \$840.00 <input type="checkbox"/> International preliminary examination fee paid to U.S. PTO (37 CFR 1.492) \$670.00 <input type="checkbox"/> No international preliminary examination fee paid to U.S. PTO (37 CFR 1.492) but international search fee paid to U.S. PTO (37 CFR 1.445(a)(2)) \$760.00 <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.492) nor international search fee (37 CFR 1.445(a)(2)) paid to U.S. PTO \$970.00 <input type="checkbox"/> International preliminary examination fee paid to U.S. PTO (37 CFR 1.492) and all claims satisfied provisions of PCT Article 33(2)-33(4) \$ 96.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS	PTO USE ONLY
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	29 - 20 =	9	x \$18.00	\$162.00	
Independent Claims	1 - 3 =	0	x \$78.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$260.00	\$260.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,262.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28)				\$	
SUBTOTAL =				\$1,262.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1,262.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$	
TOTAL FEES ENCLOSED =				\$1,262.00	
				Amount to be: refunded	\$
				charged	\$

- a. ☒ A check in the amount of \$1,262.00 to cover the above fees is enclosed. (CHECK # _____)
- b. ☐ Please charge my Deposit Account No. 23-0975 in the amount of \$_____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send All Correspondence To:

WENDEROTH, LIND & PONACK, L.L.P.
2033 K Street, N.W., Suite 800
Washington, D.C. 20006


SIGNATURE

Michael R. Davis
NAME

①

25,134
REGISTRATION NUMBER

April 15, 1999

MRD/dln

09/284578

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of : The commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to
 Yasuo FUKAGAWA et al. : Deposit Account No. 23-0975, with the EXCEPTION of
 Serial No. (Unassigned) : deficiencies in fees for multiple dependent claims in new
 applications.
 Filed April 15, 1999 : ATTY. DKT. #325/E6627
 : Group Art Unit
 : Examiner (Unassigned)

BIOLOGICALLY ACTIVE POLYMER PRODUCTS
 [Corresponding to PCT/JP97/03710
 filed October 15, 1997] :

COPY, FINANCE DEPT., USPIO
 CHARGE DEFICIENCIES
 TO DEPOSIT ACCT. # 23-0975

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner of Patents,
 Washington, D.C. 20231

THE COMMISSIONER IS AUTHORIZED
 TO CHARGE ANY DEFICIENCY IN THE
 FEE FOR THIS PAPER TO DEPOSIT
 ACCOUNT NO. 23-0975.

Sir:

Attached hereto is a check in the amount of \$1,262.00
 to cover Patent Office fees relating to filing the following
 attached papers:


PCT application \$1,262.00

A duplicate copy of this paper is being submitted for use in
 the Accounting Division, Office of Finance.

Respectfully submitted,

WENDEROTH, LIND & PONACK, L.L.P.

By:


 Michael R. Davis
 Registration No. 25,134
 Attorney for Applicants

MRD/dln
 WENDEROTH, LIND & PONACK, L.L.P.
 2033 K Street, N.W., Suite 800
 Washington, D.C. 20006
 Telephone (202) 721-8200
 April 15, 1999

[Check No. 32970]
 99-0420*/MRD/325

416 Rec'd

PTO 15 MAR 2000

MAR 23 2000
TECH CENTER 1800/2000

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21 MAR 2000

Legal Staff
Patent Trial Division

March 9, 2000

Messrs. Lissi Mojica and Leonard E. Smith
Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

re Application No. 09/284,578

Handwritten signature and initials
6-14-00

Dear Sirs:

Because of unbelievable processings by the patent right owner during revision, the contents of this patent application were completely deteriorated. More particularly, the contents of this application and the preceding Japanese patent are largely self-contradictory between the original and revised specifications. Under such circumstances, I unwillingly abstain from filing an oath or declaration. My decision was informed to the patent right owner one week ago.

Thank you.

Sincerely yours

Handwritten signature of Yasuo Fukagawa

Yasuo Fukagawa, Ph. D.